

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)


Application No.	09/439,152
Filing Date	November 12, 1999
First Named Inventor	Kurt W. Piersol
Art Unit	2622
Examiner Name	Arthur G. Evans
Attorney Docket Number	74451P106

Total Number of Pages in This Submission	21
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ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input checked="" type="checkbox"/> PTO/SB/08 <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Basic Filing Fee <input type="checkbox"/> Declaration/POA <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div>Cited Reference #27 (2 pgs.); postcard.</div>		
<table><tr><td>Remarks</td><td></td></tr></table>			Remarks	
Remarks				

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Michael J. Mallie, Reg. No. 36,591 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	November 13, 2003

CERTIFICATE OF MAILING/TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Typed or printed name	Angela M. Quinn		
Signature		Date	11-13-03

FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$)
424.00

Complete If Known

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Examiner Name	Arthur G. Evans
Group/Art Unit	2622
Attorney Docket No.	74451P106

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None
☒ Deposit Account

Deposit
Account
Number

02-2666

Deposit
Account
Name

Blakely, Sokoloff, Taylor & Zafman LLP

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Credit any overpayments
☒ Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$)

2. EXTRA CLAIM FEES

Total Claims		Extra Claims		Fee from below		Fee Paid
Independent Claims	25	21*	4	18.00		\$72.00
Multiple Dependent	6	4*	2	86.00		\$172.00

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	86	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple Dependent claim, if not paid	
1204	86	2204	43	**Reissue independent claims over original patent	
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$)

*or number previously paid, if greater, For Reissues, see below

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
2053	130	2053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920 *	1804	920 *	Requesting publication of SIR prior to Examiner action	
1805	1,840 *	1805	1,840 *	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	1,210	2255	605	Extension for reply within fifth month	
1404	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	2460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	180.00
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	1809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) _____

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)
180.00

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Michael J. Mallie	Registration No. (Attorney/Agent)	36,591	Telephone	(408) 720-8300
Signature		Date	11/13/03		

010616/0121 PAGE 2

KIMBERLY WHITE, EXAMINER
ASSIGNMENT DIVISION
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1279 OAKMEAD PARKWAY
SUNNYVALE, CA 94086
(408) 720-8598

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DETACH AND RETAIN THIS STATEMENT
THE ATTACHED CHECK IS IN PAYMENT OF ITEMS DESCRIBED BELOW.
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<u>DATE</u>	<u>DESCRIPTION</u>	<u>ACCT. NO.</u>	<u>AMOUNT</u>
2/4/2000	U.S. Patent and Trademark Office recordation of assignment fee for A SYSTEM FOR CAPTURING FACSIMILE DATA IN AN ELECTRONIC DOCUMENT MANAGEMENT SYSTEM USSN: 09/439,152 FILED: 11/12/99 INV; Piersol, et al. 74451.P106 MJM/smo Ricoh Corporation	9670.1	\$ 40.00

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NOV 20 2003

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
LOS ANGELES

Application No.: 09/439,152 Filing Date: 11/12/1999 BSTZ Docket #: 74451.P106 Atty/Sec: MJM/amg
Date Mailed: 11/13/2003 Docket Due Date(s): 11/13/2003 Client: RICOH CORPORATION
Title A SYSTEM FOR CAPTURING FACSIMILE DATA IN AN ELECTRONIC DOCUMENT MANAGEMENT SYSTEM

Inventor(s) Piersol Ito

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

☒ Amendment: Response (11 pgs)

☐ Appeal Brief & two copies (pgs)

☐ Application:

(pages w/ cover & abstract)

☐ Application Amended to Reflect Claim of Priority

☐ Assignment & Cover Sheet (pgs)

☒ Certificate of Mailing

☐ Continued Prosecution Application (CPA)

☐ Declaration & POA (pgs)

☐ Drawings: sheets, figures

☐ Express Mail No:

☐ Extension of Time:

☒ Fee Transmittal (original & copy)

☒ Other Copy of Cited Reference #27 (2 pgs. EPOA)

☒ Information Disclosure Statement & PTO/SB/08 (5 pgs)

☐ Issue Fee Transmittal (original & copy)

☐ Nonpublication Request Under 35 U.S.C. 122(b)(2)(B)(i)

☐ Notice of Appeal

☐ Petition for:

☐ Request for Continued Examination (RCE)

☐ Reply Brief (pgs)

☐ Request to Rescind Previous Nonpublication Request

☐ Response to Notice of Missing Parts & Formalities Letter

☐ Terminal Disclaimer

☐ Transmittal of Formal Drawings

☐ Transmittal of Publication Fee Due

☒ Transmittal Letter

☒ Check No. 61231 in the Amount of \$ 244.00

☒ Check No. 61230 in the Amount of \$ 180.00

SV

Assignee: Ricoh Co., Ltd.

ELY, SOKOLOFF, TAYLOR & ZAFMAN, LLP
A PARTNERSHIP INCLUDING LAW CORPORATIONS

1279 OAKMEAD PARKWAY
SUNNYVALE, CA 94085-4040
(408) 720-8598

COMERICABANK-CALIFORNIA
SUNNYVALE, CALIFORNIA 94086

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November 13, 2003

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DOLLARS \$ 244.00

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Patent & Trademark Office



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tional claims filing fee
"A SYSTEM FOR CAPTURING FACSIMILE DATA IN AN ELECTRONIC
MENT MANAGEMENT SYSTEM"
No.: 09/439,152
d: 11/12/99
Kurt W. Pierse, et al.

61231

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PARTNERSHIP INCLUDING LAW CORPORATIONS

1279 OAKMEAD PARKWAY
SUNNYVALE, CA 94085-4040
(408) 720-8598

COMERICABANK-CALIFORNIA
SUNNYVALE, CALIFORNIA 94086

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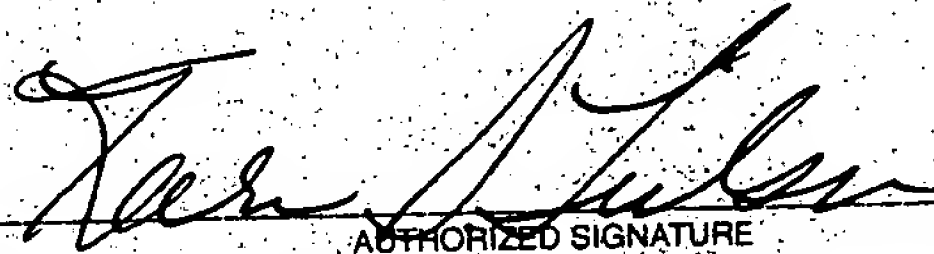
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November 13, 2003

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Kurt W. Piersol, et al.

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